



SECRETARIAL COMPLIANCE REPORT

(Pursuant to Reg. 24A of SEBI (LODR) Regulations, 2015 read with the SEBI Circular No. CIR/CPD/CMD1/27/2019 dated 8th February, 2019)

OF

AVAILABLE FINANCE LIMITED

for the year ended 31.03.2021

- I, **CS Ishan Jain**, proprietor of M/s Ishan Jain & Co., Company Secretaries have examined:
- all the documents and records made available to us and explanation provided by Available Finance Limited. (CIN L67120MP1993PLC007481) ("the Listed Entity")
 - the filings/ submissions made by the listed entity to the BSE Ltd. - www.bseindia.com
 - website of the Company is www.availablefinance.in.
 - any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended **31st March, 2021** ("Review Period") in respect of compliance with the provisions of:
 - the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include: -

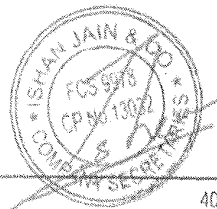
- SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015;
 - SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018;
 - SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
 - SEBI (Buyback of Securities) Regulations 2018;
 - SEBI (Share Based Employee Benefits) Regulations, 2014;
 - SEBI (Issue and Listing of Debt Securities) Regulations, 2008;
 - SEBI (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
 - SEBI (Prohibition of Insider Trading) Regulations, 2015;
 - Circulars/guidelines issued thereunder;
- and based on the above examination,

I hereby report that, during the Review Period:

- The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder as applicable to it (however, the Regulations mentioned above under (d) to (g) are not applicable to the company as there were no such activities as covered under the said regulations) during the review period, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/circulars/ guidelines including specific clause)	Deviations	Observations/Remarks of the Practicing Company Secretary
NIL			

- The Company has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- The following are the details of actions taken against the Company / its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

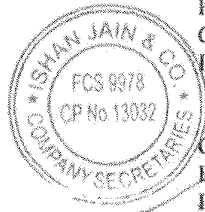


Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
None				

(d) The Company has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended	Actions taken by the Company, if any	Comments of the Practicing Company Secretary on the actions taken by the Company
1	The Company was required to submit the Statement of Related Party Transactions for the half year ended 30.09.2019 within a period of 30 days from the submission of the Financial Results to the Stock Exchange. i.e. on or before 14th December, 2019, whereas the company has submitted the same on 5th May, 2020.	31.03.2020	The company has rectified its mistake and is now regular in filing Related Party Statement on the half year basis within the stipulated time.	Adequate compliance found in the Current Year 2020-21.
2	The company was required to submit disclosure to the BSE regarding any change in the Directors. However, it has not submitted the same for the further appointment of Mr. Rakesh Sahu, CFO as the Whole time Director w.e.f. 29th May, 2019.	31.03.2020	The Company has noted and agreed to make correct and adequate compliance	Adequate compliance found in the Current Year 2020-21.
3	The company has convened and held meetings of the NRC 08.08.2019 and 31.08.2019, however, it has not been disclosed the same in the CG Report filed for the quarter ended Sept-19,	31.03.2020	The Company has noted and agreed to make correct and adequate compliance	Adequate compliance found in the Current Year 2020-21.

PLACE: INDORE
DATE: 28th June, 2021
UDIN: F009978C000532049



FOR, ISHAN JAIN & CO.,
COMPANY SECRETARIES
FRN: S2021MP802300

CS ISHAN JAIN
PROPRIETOR
FCS NO.: 9978
C P No.: 13032
Peer Review: 842/2020